

Notes:

1. Unitywater, at its discretion, may audit more frequently any entity or Registered Certifier it considers to potentially be a conflict risk due to (amongst others) multiple roles within the accreditation scheme. (ref. Accreditation and Certification Manual, Business Models - page 14).
2. The extent of Unitywater audits are not limited to this checklist but cover all the requirements of the SEQ Code. Any additional recognised Non-Conformances will nominally be scored at a 2, though at Unitywater's discretion, may attract a higher score, based on risk.
3. Issue of a Unitywater decision does not imply an audit with a pass result has been achieved. Unitywater reserves the right to conduct audits at any time during the application process (design/construction phases).
4. * - Means the applicable score will apply to each instance of the described non-conformance identified during the audit assessment.

Item No	Non-Conformance Items	Non-Conformance Type		Comments
		Minor NC	Major NC	
1	Owner(s) and or relevant authority(s) consent(s) has not been provided/checked and in accordance with legislative requirements.		10	This is a statutory requirement and as such application cannot proceed further without this consent.
2	Incorrect fees paid.	1		
3	Certification submitted for property outside of current or future connection area.		10	
4	Existing infrastructure incorrectly identified.	1		
5	BOAA not identified or properly addressed.	5		
6	SAN not addressed in submission package.	2		
7	Proposed demand incorrectly calculated.	3		
8	Inconsistency with DMaTT demand assumptions.	3		
9	Adjoining or previous approvals not complied with.		10	
10	Incorrect connection points of the proposed development to Unitywater infrastructure identified.	3		
11	Servicing strategy in conflict with Unitywater approved network analysis.		10	
12	Incorrect or missing standard condition/s imposed.	1		
13	Conditions relating to existing building remaining on site after development has not been imposed or are incorrect.	1		
14	Intent (reasonable/relevant) or language of specific condition is/are incorrect.	1		

F10270 - A&C - Non-Conformance List - Connection Approval

Item No	Non-Conformance Items	Non-Conformance Type		Comments
		Minor NC	Major NC	
15	Statement of Alternative Solutions (SOAS) are incorrect or not adequately addressed.	5		
16	Incorrect or missed referencing (e.g. Drawing Nos.) on Decision Notice.	1		
17	Incorrect or missing information in the certification package.	1		
18	Each drawing is not stamped in accordance with section 3.3.19 of A&C Manual.	1		
19	Design drawing/s not signed by RPEQ.		10	
20	Incorrect infrastructure charging regime identified for the development.	5		
21	Incorrect infrastructure charges calculation by less than 10%.	5		
22	Incorrect infrastructure charges calculation by more than 10%.		10	
23	Any other item that Unitywater deems reasonable and relevant to attract a non-conformance.	2*		